# **CDISC Protocol Controlled Terminology, 2021-06-25**

Source: NCI EVS Terminology Resources website: http://www.cancer.gov/cancertopics/cancerlibrary/terminologyresources/cdisc

| NCI<br>Code | CDISC Submission<br>Value                             | Codelist Name   | CDISC Definition  | Codelist<br>Extensible |
|-------------|---|---|---|------------------------|
| C179587     | Biological Sample<br>Attribute Terminology            | Biological Sample<br>Attribute Terminology            | A terminology value set relevant to the attributes of the biological sample.  | NA                     |
| C142191     | Clinical Study Attribute<br>Terminology               | Clinical Study<br>Attribute Terminology               | A terminology value set relevant to the attributes of the clinical study entity.  | NA                     |
| C139020     | Clinical Trial Attribute<br>Terminology               | Clinical Trial Attribute<br>Terminology               | A terminology value set relevant to the attributes of the clinical trial entity.  | NA                     |
| C170440     | Endpoint Attribute<br>Terminology                     | Endpoint Attribute<br>Terminology                     | A terminology value set relevant to the attributes of the endpoint entity.  | NA                     |
| C170441     | Endpoint Type Value Set<br>Terminology                | Endpoint Type Value<br>Set Terminology                | The terminology relevant to the type of endpoint for the study.   | NA                     |
| C177906     | Ingredient Attribute<br>Terminology                   | Ingredient Attribute<br>Terminology                   | A terminology value set relevant to the attributes of the ingredient.   | NA                     |
| C177907     | Ingredient Type Value Set<br>Terminology              | Ingredient Type Value<br>Set Terminology              | The terminology relevant to the identification of the kind of ingredient.   | NA                     |
| C177905     | Intervention Attribute<br>Terminology                 | Intervention Attribute<br>Terminology                 | A terminology value set relevant to the attributes of the intervention.   | NA                     |
| C99076      | INTMODEL  | Intervention Model<br>Response                        | A terminology codelist relevant to the trial design developed to compare treatment groups.  | Yes                    |
| C99078      | INTTYPE   | Intervention Type<br>Response                         | A terminology codelist relevant to the kind of product or procedure studied in a trial. $ \\$                                     | No                     |
| C66742      | NY  | No Yes Response                                       | A term that is used to indicate a question with permissible values of yes/no/unknown/not applicable.                              | No                     |
| C127259     | OBSSMO  | Observational Study<br>Model                          | The terminology relevant to the trial design for observational studies.   | Yes                    |
| C165641     | Outcome Measure<br>Attribute Terminology              | Outcome Measure<br>Attribute Terminology              | A terminology value set relevant to the attributes of the outcome measure entity. $ \\$   | NA                     |
| C170442     | Outcome Measure Type<br>Value Set Terminology         | Outcome Measure<br>Type Value Set<br>Terminology      | The terminology relevant to the type of outcome measure for the study.  | NA                     |
| C165642     | Oversight Entity Value<br>Set                         | Oversight Entity Value<br>Set                         | The terminology relevant to the type of oversight entity for the study.   | NA                     |
| C147068     | Participant Allocation<br>Value Set                   | Participant Allocation<br>Value Set                   | A terminology codelist for the method of assigning participants, or subjects, to groups or categories within a clinical study.    | NA                     |
| C132308     | Physical Address Attribute<br>Terminology             | Physical Address<br>Attribute Terminology             | A terminology value set relevant to the attributes of the physical address entity. $ \\$  | NA                     |
| C154681     | Protocol Contact Role<br>Value Set                    | Protocol Contact Role<br>Value Set                    | The terminology relevant to the role that the individual or entity plays with respect to being a contact within a study protocol. | NA                     |
| C132310     | Protocol Entity<br>Terminology                        | Protocol Entity<br>Terminology                        | A terminology value set relevant to the entities within a protocol.   | NA                     |
| C147069     | Randomization Type<br>Value Set                       | Randomization Type<br>Value Set                       | A terminology codelist relevant to the types of randomization schemas associated with a randomized controlled trial.              | NA                     |
| C172329     | Study Arm Attribute<br>Terminology                    | Study Arm Attribute<br>Terminology                    | A terminology value set relevant to the attributes of the study arm entity.   | NA                     |
| C174222     | Study Arm Type Value<br>Set Terminology               | Study Arm Type Value<br>Set Terminology               | The terminology relevant to the identification of the kind of arm.  | NA                     |
| C154682     | Study Contact<br>Information Attribute<br>Terminology | Study Contact<br>Information Attribute<br>Terminology | A terminology value set relevant to the attributes of the study contact information entity.                                       | NA                     |
| C147066     | Study Design Attribute<br>Terminology                 | Study Design<br>Attribute Terminology                 | A terminology value set relevant to the attributes of the study design entity.  | NA                     |
| C163026     | Study Monitoring<br>Attribute Terminology             | Study Monitoring<br>Attribute Terminology             | A terminology value set relevant to the attributes of the study monitoring entity.  | NA                     |
| C165640     | Study Oversight Entity<br>Attribute Terminology       | Study Oversight<br>Entity Attribute<br>Terminology    | A terminology value set relevant to the attributes of the study oversight entity.   | NA                     |

| NCI<br>Code | CDISC Submission<br>Value                   | Codelist Name                                  | CDISC Definition  | Codelist<br>Extensible |
|-------------|---|--|---|------------------------|
| C160921     | Study Population<br>Attribute Terminology   | Study Population<br>Attribute Terminology      | A terminology value set relevant to the attributes of the study population entity. $ \\$  | NA                     |
| C177904     | Study Product<br>Administration Attribute   | Study Product<br>Administration<br>Attribute   | A terminology value set relevant to the attributes of the study product administration.   | NA                     |
| C174220     | Study Product Attribute<br>Terminology      | Study Product<br>Attribute Terminology         | A terminology value set relevant to the attributes of the study product.  | NA                     |
| C174221     | Study Product Type Value<br>Set Terminology | Study Product Type<br>Value Set<br>Terminology | The terminology relevant to the identification of the kind of study product.  | NA                     |
| C132309     | Study Protocol Attribute<br>Terminology     | Study Protocol<br>Attribute Terminology        | A terminology value set relevant to the attributes of the study protocol entity.  | NA                     |
| C147067     | Study Purpose Value Set                     | Study Purpose Value<br>Set                     | A terminology codelist relevant to the reason(s) or intention(s) for the execution of an interventional or non-interventional clinical study. | NA                     |
| C99077      | STYPE                                       | Study Type Response                            | A terminology codelist relevant to the role the study plays in determining the interventions a subject receives.                              | No                     |
| C66736      | TINDTP                                      | Trial Intent Type<br>Response                  | A terminology codelist relevant to the responses for the planned purpose of the therapy, device, or agent under study in the clinical trial.  | Yes                    |
| C66737      | TPHASE                                      | Trial Phase Response                           | A terminology codelist relevant to the phase, or stage, of the clinical trial.  | Yes                    |
| C66739      | TTYPE                                       | Trial Type Response                            | A terminology codelist relevant to the type of primary outcome or endpoint that the protocol is designed to evaluate.                         | Yes                    |

### **Biological Sample Attribute Terminology (Biological Sample Attribute Terminology)**

NCI Code: C179587, Codelist extensible: NA

| C179587     | Biological Sample<br>Attribute Terminology |  |  |   |
|-------------|--|--|--|---|
| NCI<br>Code | CDISC Submission<br>Value                  | CDISC Synonym  | CDISC Definition   | NCI Preferred Term                            |
| C179744     | Biological Sample<br>Accountability        |  | The activities describing the documentation of the storage, inventory tracking, and disposition of the biological sample.          | Biospecimen Handling<br>Accountability Record |
| C70945      | Biological Sample<br>Collection            |  | The activities describing biological sample collection, such as specimen type, timing and methodology.                             | Biospecimen Collection                        |
| C70700      | Biological Sample<br>Collection Method     |  | A description of the methodology by which biological material is obtained from a subject.  | Biospecimen Collection<br>Method              |
| C178869     | Biological Sample<br>Collection Timing     |  | A description of the timing for the collection of a biological sample, in relation to a study-specific event or time period.       | Biospecimen Collection<br>Time                |
| C179745     | Biological Sample<br>Handling              | Biospecimen<br>Handling;Handling of<br>Biological<br>Specimens;Handling of<br>Biological Samples | A description of the management of biological sample handling, including methods of collection, processing, shipping, and storage. | Biospecimen Handling                          |
| C179746     | Biological Sample<br>Preparation           |  | The activities describing how the biological sample is made ready for storage, processing, and/or analysis.                        | Biospecimen Preparation                       |
| C179747     | Biological Sample<br>Shipping              | Biological Sample<br>Shipment;Biological<br>Sample Transport                                     | The activities describing the logistical considerations for transporting a biological sample from the sender to the receiver.      | Biospecimen Shipping                          |
| C179748     | Biological Sample Storage                  |  | The activities describing the physical or environmental conditions under which the biological sample is maintained.                | Biospecimen Storage                           |

# Clinical Study Attribute Terminology (Clinical Study Attribute Terminology)

NCI Code: C142191, Codelist extensible: NA

| C142191     | Clinical Study Attribute<br>Terminology |               |  |   |
|-------------|---|---------------|--|---|
| NCI<br>Code | CDISC Submission<br>Value               | CDISC Synonym | CDISC Definition   | NCI Preferred Term                          |
| C70794      | Primary Clinical Study<br>Sponsor       |               | The individual, organization, group or other legal person taking responsibility for securing the arrangements to initiate and/or manage a study (including arrangements to ensure that the study design meets appropriate standards and to ensure appropriate conduct and reporting). In commercial trials, the primary sponsor is normally the main applicant for regulatory authorization to begin the study. It may or may not be the main funder. (NCI)  | Primary Clinical Study<br>Sponsor           |
| C70795      | Secondary Clinical Study<br>Sponsor     |               | Additional individuals, organizations or other legal persons, if any, that have agreed with the primary sponsor to take on responsibilities of sponsorship. A secondary sponsor may have agreed to take on all the responsibilities of sponsorship jointly with the primary sponsor; or to form a group with the primary sponsor in which the responsibilities of sponsorship are allocated among the members of the group; or to act as the sponsor's legal representative in relation to some or all of the study sites; or to take responsibility for the accuracy of study registration information submitted. | Secondary Clinical Study<br>Sponsor         |
| C71473      | Study Activity                          |               | An action, undertaking, or event, which is anticipated to be performed or observed, or was performed or observed, according to the study protocol during the execution of the study.   | Study Activity                              |
| C94122      | Study Rationale                         | Study Purpose | A statement describing the overall rationale of the study. This field describes the contribution of this study to product development, i.e., what knowledge is being contributed from the conduct of this study.   | Study Protocol Version<br>Purpose Statement |
| C93682      | Study Schematic Diagram                 | Study Schema  | A diagram that outlines the decision points (e.g. randomization, response evaluation) that define the different paths a participant could take through the study. This is typically a block diagram and may include epochs, timing of randomization, treatment arms, and duration of treatments.   | Study Schematic                             |
| C142175     | Study Type                              | Study Type    | The nature of the investigation for which study information is being collected. (After clinicaltrials.gov)   | Study Type                                  |

# **Clinical Trial Attribute Terminology (Clinical Trial Attribute Terminology)**

NCI Code: C139020, Codelist extensible: NA

| C139020     | Clinical Trial Attribute<br>Terminology                |   |  |  |
|-------------|--|---|--|--|
| NCI<br>Code | CDISC Submission<br>Value                              | CDISC Synonym                           | CDISC Definition   | NCI Preferred Term                                     |
| C139170     | Country of Recruitment                                 |   | The country in which participants are located when enrolling in a trial or study.  | Country of Recruitment                                 |
| C139171     | Date of First Enrollment                               |   | Date or date and time of first subject enrollment into a study, as verifiable by a convention that is consistent with authoritative regulatory criteria. Compare with study start. [Modified from ICH E3] (CDISC Glossary)   | Date of First Enrollment into Study                    |
| C25370      | Exclusion Criteria                                     |   | List of characteristics in a protocol, any one of which may exclude a potential subject from participation in a study. (CDISC glossary)  | Exclusion Criteria                                     |
| C25532      | Inclusion Criteria                                     |   | The criteria in a protocol that prospective subjects must meet to be eligible for participation in a study. NOTE: Exclusion and inclusion criteria define the study population. See also exclusion criteria. (CDISC glossary)  | Inclusion Criteria                                     |
| C127796     | Planned Trial Duration                                 | Planned Trial Duration                  | The approximate period of time over which the clinical trial is expected to occur.   | Planned Trial Duration                                 |
| C139168     | Primary Sponsor Name                                   |   | The name of the entity that is considered the primary sponsor for the trial or study. (NCI)  | Primary Study Sponsor<br>Name                          |
| C139169     | Secondary Sponsor Name                                 |   | The name of the entity that is considered the secondary sponsor for the trial or study. (NCI)  | Secondary Study Sponsor<br>Name                        |
| C139167     | Source of Monetary or<br>Material Support for<br>Study |   | The major organizations providing monetary or material support for the conduct of the trial, including, but not limited to, funding, design, implementation, data analysis and reporting. (EudraCT)  | Source of Monetary or<br>Material Support for<br>Study |
| C139172     | Target Sample Size                                     |   | The total number of planned participants in a study or trial.  | Target Sample Size                                     |
| C101302     | Therapeutic Area                                       | Therapeutic Area                        | A knowledge field that focuses on research and development of specific treatments for diseases and pathologic findings, as well as prevention of conditions that negatively impact the health of an individual. (NCI)  | Therapeutic Area                                       |
| C15787      | Trial Design   |   | The detailed planning of a study of the safety, efficacy, or optimum dosage schedule (if appropriate) of one or more diagnostic, therapeutic, or prophylactic drugs, devices, or techniques selected according to predetermined criteria of eligibility and observed for predefined evidence of favorable and unfavorable effects. (NCI) | Clinical Trials Design                                 |
| C112038     | Trial Disease/Condition Indication                     | Trial Disease/Condition Indication      | The condition, disease or disorder that the clinical trial is intended to investigate or address.  | Trial Indication                                       |
| C49652      | Trial Intent   | Trial Intent Type                       | The planned purpose of the therapy, device, or agent under study in the clinical trial.  | Clinical Study by Intent                               |
| C48281      | Trial Phase  | Trial Phase Classification              | Any defined stage in the lifecycle of a clinical trial.  | Trial Phase  |
| C85826      | Trial Primary Objective                                | Trial Primary Objective                 | The principal purpose of the trial.  | Trial Primary Objective                                |
| C139166     | Trial Registration<br>Indicator                        |   | An indication as to whether the clinical trial has been registered with a trial registry system.   | Trial Registration<br>Indicator                        |
| C85827      | Trial Secondary Objective                              | Trial Secondary Objective               | The auxiliary purpose of the trial.  | Trial Secondary Objective                              |
| C85838      | Trial Site   | Investigative<br>Site;Investigator Site | Any healthcare organization, institution, facility or provider directly involved in conducting or facilitating a particular clinical trial. (NCI)  | Clinical Trial Site                                    |
| C49660      | Trial Type   | Trial Scope;Trial Type                  | The nature of the interventional study for which information is being collected.   | Trial Type   |

# **Endpoint Attribute Terminology (Endpoint Attribute Terminology)**

NCI Code: C170440, Codelist extensible: NA

| C170440     | Endpoint Attribute<br>Terminology |               |   |                                     |  |
|-------------|-----------------------------------|---------------|---|-------------------------------------|--|
| NCI<br>Code | CDISC Submission<br>Value         | CDISC Synonym | CDISC Definition  | NCI Preferred Term                  |  |
| C170557     | Endpoint Type                     |               | A characterization or classification of the defined variable intended to reflect an outcome measure of interest that is statistically analyzed to address a particular research question. | Study Endpoint Type                 |  |
| C170558     | Justification for Endpoint        |               | The rationale or explanation for why each study endpoint was chosen. $ \\$  | Justification for Study<br>Endpoint |  |

# **Endpoint Type Value Set Terminology (Endpoint Type Value Set Terminology)**

NCI Code: C170441, Codelist extensible: NA

| C170441     | Endpoint Type Value<br>Set Terminology |                   |  |                      |
|-------------|--|-------------------|--|----------------------|
| NCI<br>Code | CDISC Submission Value                 | CDISC Synonym     | CDISC Definition   | NCI Preferred Term   |
| C170561     | Composite Endpoint                     | Combined Endpoint | Endpoint(s) constructed from two or more endpoints that represents an overall clinically relevant measure of clinical benefit.   | Composite Endpoint   |
| C170560     | Direct Endpoint                        |                   | Endpoint(s) used in clinical studies to directly measure how a patient feels, functions, or survives. These endpoint(s) in themselves represent or characterize the clinical outcome of interest. (FDA: https://www.fda.gov/media/84987/download)  | Direct Endpoint      |
| C170559     | Exploratory Endpoint                   |                   | Endpoint(s) that may include clinically important events that are expected to occur too infrequently to show a treatment effect or endpoints that for other reasons are thought to be less likely to show an effect but are included to explore new hypotheses. (After FDA-NIH Protocol Template)  | Exploratory Endpoint |
| C94496      | Primary Endpoint                       |                   | Endpoint(s) of greatest importance that is the basis for concluding whether the study met its objective(s) and provides a clinically relevant, valid, and reliable measure of the primary objective(s). (After FDA-NIH Protocol Template)  | Primary Endpoint     |
| C139173     | Secondary Endpoint                     |                   | Endpoint(s) that may provide supportive information about the effect of the study intervention(s) on the primary endpoint or demonstrate additional effects on the disease or condition. (After FDA-NIH Protocol Template)   | Secondary Endpoint   |
| C68772      | Surrogate Endpoint                     |                   | Endpoint(s) used in clinical studies as a substitute for a direct measure of how a patient feels, functions, or survives. A surrogate endpoint is expected to predict clinical benefit or harm based on epidemiologic, therapeutic, pathophysiologic, or other scientific evidence. A surrogate endpoint does not measure the clinical benefit of primary interest in and of itself. (After NIH-FDA BEST (Biomarkers, Endpoints, and other Tools) Resource, https://www.ncbi.nlm.nih.gov/books/NBK338448/) | Surrogate Endpoint   |

### Ingredient Attribute Terminology (Ingredient Attribute Terminology)

NCI Code: C177906, Codelist extensible: NA

| C177906     | Ingredient Attribute<br>Terminology |               |   |                        |  |
|-------------|-------------------------------------|---------------|---|------------------------|--|
| NCI<br>Code | CDISC Submission Value              | CDISC Synonym | CDISC Definition  | NCI Preferred Term     |  |
| C177929     | Drug Product Component              | Component     | Any ingredient intended for use in the manufacture of a drug product, including those that may not appear in such drug product. (FDA 21 CFR 314.3(a)) | Drug Product Component |  |
| C177928     | Ingredient Type                     |               | A characterization or classification of the component that constitutes a part of a compound or mixture.   | Ingredient Type        |  |

# Ingredient Type Value Set Terminology (Ingredient Type Value Set Terminology)

NCI Code: C177907, Codelist extensible: NA

| C177907     | Ingredient Type Value<br>Set Terminology |                  |   |                          |
|-------------|--|------------------|---|--------------------------|
| NCI<br>Code | CDISC Submission Value                   | CDISC Synonym    | CDISC Definition  | NCI Preferred Term       |
| C82533      | Active Ingredient                        |                  | Any component of a study product intended to exert pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease, or to affect the structure or any function of the body of humans or other animals. (After 21 CFR 210.3(b)(7)) | Active Ingredient        |
| C42637      | Inactive Ingredient                      | Inert Ingredient | Any component of a study product other than an active ingredient. (After FDA 21 CFR 210.3(b)(8))  | Pharmaceutical Excipient |

### Intervention Attribute Terminology (Intervention Attribute Terminology)

NCI Code: C177905, Codelist extensible: NA

| C177905     | Intervention Attribute Terminology |                   |   |                          |  |
|-------------|------------------------------------|-------------------|---|--------------------------|--|
| NCI<br>Code | CDISC Submission<br>Value          | CDISC Synonym     | CDISC Definition                                      | NCI Preferred Term       |  |
| C177931     | Intervention Description           |                   | The textual representation of the study intervention. | Intervention Description |  |
| C177930     | Intervention Name                  |                   | The identifying name for the study intervention.      | Intervention Name        |  |
| C98747      | Intervention Type                  | Intervention Type | The kind of product or procedure studied in a trial.  | Intervention Type        |  |

### **INTMODEL (Intervention Model Response)**

NCI Code: C99076, Codelist extensible: Yes

| C99076      | INTMODEL                  |               |   |                         |
|-------------|---------------------------|---------------|---|-------------------------|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym | CDISC Definition  | NCI Preferred Term      |
| C82637      | CROSS-OVER                |               | Participants receive one of two or more alternative intervention(s) during the initial epoch of the study and receive other intervention(s) during the subsequent epoch(s) of the study.  | Crossover Study         |
| C82638      | FACTORIAL                 |               | Two or more interventions, each alone or in combination, are evaluated in parallel against a control group. This study design allows for the comparison of active drug to placebo, presence of drug-drug interactions, and comparison of active drugs against each other. | Factorial Study         |
| C82639      | PARALLEL                  |               | Participants are assigned to one of two or more treatment groups in parallel for the duration of the study.   | Parallel Study          |
| C142568     | SEQUENTIAL                |               | Groups of participants are assigned to receive interventions based on prior milestones being reached in the study. (clinicaltrials.gov)   | Group Sequential Design |
| C82640      | SINGLE GROUP              |               | All trial participants are assigned to a single treatment group for the duration of the study.  | Single Group Study      |

### **INTTYPE** (Intervention Type Response)

NCI Code: C99078, Codelist extensible: No

| C99078      | INTTYPE                   |                                   |  |                               |
|-------------|---------------------------|-----------------------------------|--|-------------------------------|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym                     | CDISC Definition   | NCI Preferred Term            |
| C15184      | BEHAVIORAL THERAPY        |                                   | A technique used to change the behavior of a subject (e.g., psychotherapy, lifestyle counseling, or hypnosis).   | Behavioral Intervention       |
| C307        | BIOLOGIC                  |                                   | A substance made from living organisms or things they produce, for example: virus, therapeutic serum, toxin, antitoxin, vaccine, blood, blood component or derivative, allergenic product, or analogous product.   | Biological Agent              |
| C16830      | DEVICE                    | Medical Device                    | Any instrument, apparatus, implement, machine, appliance, implant, reagent for in vitro use, software, material or other similar or related article, intended by the manufacturer to be used, alone or in combination for, one or more specific medical purpose(s).  | Medical Device                |
| C1505       | DIETARY SUPPLEMENT        |                                   | Preparations containing ingredient(s) intended to supplement the diet.   | Dietary Supplement            |
| C1909       | DRUG                      |                                   | An active natural, synthetic or semi-synthetic ingredient including endogenous body substance that is intended to furnish pharmacological activity or other direct effect in the diagnosis, cure, mitigation, treatment, or prevention of disease or to affect the structure or any function of the human body, but does not include intermediates used in the synthesis of such ingredient (21 CFR 314.3(b)). | Pharmacologic Substance       |
| C15238      | GENETIC                   | Gene Therapy                      | Introduction of genetic material into cells in order to correct or treat an inherited or acquired disease.   | Gene Therapy                  |
| C17649      | OTHER                     | Other                             | Different than the one(s) previously specified or mentioned. (NCI)   | Other                         |
| C98769      | PROCEDURE                 | Medical Procedure                 | Any activity performed by manual and/or instrumental means for the purpose of diagnosis, assessment, therapy, prevention, or palliative care.  | Physical Medical<br>Procedure |
| C15313      | RADIATION                 | Radiation<br>Therapy;Radiotherapy | Use of targeted or whole body radiation to treat a disease.  | Radiation Therapy             |

### NY (No Yes Response)

NCI Code: C66742, Codelist extensible: No

| C66742      | NY                        |                   |  |                    |
|-------------|---------------------------|-------------------|--|--------------------|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym     | CDISC Definition   | NCI Preferred Term |
| C49487      | N                         | No                | The non-affirmative response to a question. (NCI)                      | No                 |
| C48660      | NA                        | NA;Not Applicable | Determination of a value is not relevant in the current context. (NCI) | Not Applicable     |
| C17998      | U                         | U;UNK;Unknown     | Not known, not observed, not recorded, or refused. (NCI)               | Unknown            |
| C49488      | Υ                         | Yes               | The affirmative response to a question. (NCI)                          | Yes                |

### **OBSSMO (Observational Study Model)**

NCI Code: C127259, Codelist extensible: Yes

| C127259     | OBSSMO                    |               |  |  |
|-------------|---------------------------|---------------|--|--|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym | CDISC Definition   | NCI Preferred Term                     |
| C15197      | CASE CONTROL              |               | A study that compares groups of people with generally similar characteristics, those with the condition under study (case) and those without the condition under study (control).  | Case-Control Study                     |
| C127779     | CASE CROSSOVER            |               | A study in which the subject characteristics of the case, immediately prior to disease onset (sometimes called the hazard period), are compared to characteristics of same case at a prior time (i.e., control period). (ClinicalTrials.gov) | Observational Case-<br>Crossover Study |
| C15362      | CASE ONLY                 |               | A study in which the subject with the condition under study (the case) is compared against a theoretical/historical model of distribution that serves as a control.  | Case Study                             |
| C15208      | COHORT                    |               | A study in which subjects are grouped based on a predefined personal or administrative characteristic.   | Cohort Study                           |
| C127780     | ECOLOGIC OR<br>COMMUNITY  |               | A study in which geographically distinct study populations are compared with respect to a particular outcome.  | Ecologic or Community<br>Based Study   |
| C15407      | FAMILY BASED              |               | A study in which related or non-related family members are compared with respect to a particular outcome.  | Family Study                           |

### **Outcome Measure Attribute Terminology (Outcome Measure Attribute Terminology)**

NCI Code: C165641, Codelist extensible: NA

| C165641     | Outcome Measure<br>Attribute Terminology |               |  |                                      |
|-------------|--|---------------|--|--------------------------------------|
| NCI<br>Code | CDISC Submission<br>Value                | CDISC Synonym | CDISC Definition   | NCI Preferred Term                   |
| C165138     | Outcome Measure<br>Description           |               | A full description of the outcome measure.   | Study Outcome Measure<br>Description |
| C165859     | Outcome Measure Time<br>Frame            |               | The period of time over which the study outcome measure is assessed.   | Outcome Measure Time<br>Frame        |
| C165860     | Outcome Measure Title                    |               | The descriptive name of the outcome measure.   | Outcome Measure Title                |
| C165861     | Outcome Measure Type                     |               | A characterization or classification of the specific key measurement(s) or observation(s) used to measure the effect of experimental variables on the participants in a study, or for observational studies, to describe patterns of diseases or traits or associations with exposures, risk factors or treatment. | Outcome Measure Type                 |

### Outcome Measure Type Value Set Terminology (Outcome Measure Type Value Set Terminology)

NCI Code: C170442, Codelist extensible: NA

| C170442     | Outcome Measure Type<br>Value Set Terminology |                                |  |                                |
|-------------|---|--------------------------------|--|--------------------------------|
| NCI<br>Code | CDISC Submission<br>Value                     | CDISC Synonym                  | CDISC Definition   | NCI Preferred Term             |
| C98724      | Exploratory Outcome<br>Measure                | Exploratory Outcome<br>Measure | The outcome measure(s) that is part of a pre-specified analysis plan used to evaluate the exploratory endpoint(s) associated with exploratory study objective(s) and/or any other measures, excluding post-hoc measures, that are a focus of the study. (After clinicaltrials.gov) | Exploratory Outcome<br>Measure |
| C98772      | Primary Outcome<br>Measure                    | Primary Outcome<br>Measure     | The outcome measure(s) of greatest importance specified in the protocol, usually the one(s) used in the power calculation, to evaluate the primary endpoint(s) associated with the primary study objective(s). (After Clinicaltrials.gov)  | Primary Outcome<br>Measure     |
| C98781      | Secondary Outcome<br>Measure                  | Secondary Outcome<br>Measure   | The outcome measure(s) that is part of a pre-specified analysis plan used to evaluate the secondary endpoint(s) associated with secondary study objective(s) and/or used to evaluate any measure(s) ancillary to the primary or secondary endpoint(s). (After Clinicaltrials.gov). | Secondary Outcome<br>Measure   |

# Oversight Entity Value Set (Oversight Entity Value Set)

NCI Code: C165642, Codelist extensible: NA

| C165642     | Oversight Entity Value<br>Set               |   |  |   |
|-------------|---|---|--|---|
| NCI<br>Code | CDISC Submission Value                      | CDISC Synonym   | CDISC Definition   | NCI Preferred Term                          |
| C142489     | Data Monitoring<br>Committee                | DMC;DMOC;DSMC;Data<br>Monitoring and Oversight<br>Committee;Data and<br>Safety Monitoring<br>Board;Data and Safety<br>Monitoring Committee<br>DSMB;IDMC;Independent<br>Data Monitoring<br>Committee | A group of independent experts who are appointed to monitor the safety and scientific integrity of a research intervention, protect the confidentiality of participant data, and to make recommendations to the sponsor regarding the stopping of the trial for safety, efficacy, or for futility. (clinicaltrials.gov)  | Data Monitoring<br>Committee                |
| C142579     | Independent Ethics<br>Committee             | IEC   | An independent body (a review board or a committee, institutional, regional, national, or supranational), constituted of medical professionals and non-medical members, whose responsibility it is to ensure the protection of the rights, safety and well-being of human subjects involved in a study and to provide public assurance of that protection, by, among other things, reviewing and approving/providing favorable opinion on, the study protocol, the suitability of the investigator(s), facilities, and the methods and material to be used in obtaining and documenting informed consent of the study subjects. The legal status, composition, function, operations and regulatory requirements pertaining to Independent Ethics Committees may differ among countries, but should allow the Independent Ethics Committee to act in agreement with GCP as described in the ICH E6 guideline. (ICH E6 R2) | Independent Ethics<br>Committee             |
| C165865     | Independent Safety<br>Monitor               | ISM   | An independent physician or health-care professional who evaluates individual and cumulative participant data to make recommendations regarding the safe continuation of the study. (NIH)  | Independent Safety<br>Monitor               |
| C16741      | Institutional Review<br>Board               | IRB   | An independent body constituted of medical, scientific, and non-scientific members, whose responsibility is to ensure the protection of the rights, safety and well-being of human subjects involved in a study by, among other things, reviewing, approving, and providing continuing review of study protocol and amendments and of the methods and material to be used in obtaining and documenting informed consent of the study subjects. (ICH E6 R2)   | Institutional Review<br>Board               |
| C165866     | Observational Study<br>Monitoring Committee |   | A group of independent experts who are appointed to monitor the safety and scientific integrity of an observational study, including protecting the confidentiality of participant data and to make recommendations regarding the stopping of the study for safety or for futility. (clinicaltrials.gov)   | Observational Study<br>Monitoring Committee |
| C165867     | Safety Monitoring<br>Committee              | SMC;Safety Assessment<br>Committee;Safety<br>Monitoring Board   | Group of individuals with pertinent expertise that reviews, on a regular basis, accumulating safety data from an ongoing clinical study. This independent committee monitors the safety of participants during the study.  | Safety Monitoring<br>Committee              |

### Participant Allocation Value Set (Participant Allocation Value Set)

NCI Code: C147068, Codelist extensible: NA

| C147068     | Participant Allocation Value Set |                     |  |                                 |
|-------------|----------------------------------|---------------------|--|---------------------------------|
| NCI<br>Code | CDISC Submission<br>Value        | CDISC Synonym       | CDISC Definition   | NCI Preferred Term              |
| C93043      | Nonrandomized                    |                     | Participants are expressly assigned to intervention groups through a non-random method. (clinicaltrials.gov)   | Nonrandomized Clinical<br>Trial |
| C48660      | Not Applicable                   | NA;Not Applicable   | Determination of a value is not relevant in the current context. (NCI)   | Not Applicable                  |
| C25196      | Randomized                       | Trial is Randomized | The process of assigning trial subjects to treatment or control groups using an element of chance to determine the assignments in order to reduce bias. NOTE: Unequal randomization is used to allocate subjects into groups at a differential rate; for example, three subjects may be assigned to a treatment group for every one assigned to the control group. [ICH E6 1.48] See also balanced study. (CDISC glossary) | Randomization                   |

# Physical Address Attribute Terminology (Physical Address Attribute Terminology)

NCI Code: C132308, Codelist extensible: NA

| C132308     | Physical Address<br>Attribute Terminology |               |  |                    |
|-------------|---|---------------|--|--------------------|
| NCI<br>Code | CDISC Submission<br>Value                 | CDISC Synonym | CDISC Definition   | NCI Preferred Term |
| C25160      | City                                      |               | A relatively large and/or densely populated area of human habitation with administrative or legal status that may be specified as a component of a postal address.   | City               |
| C25464      | Country                                   |               | A sovereign nation occupying a distinct territory and ruled by an autonomous government. $ \\$   | Country            |
| C87189      | Geographic Locality                       |               | A distinct geographic area in the immediate vicinity of a particular place, such as a city, neighborhood or district.  | Locality           |
| C16632      | Geographic Region                         |               | Any demarcated area of the Earth; may be determined by both natural and human boundaries, such as a state or province.   | Geographic Area    |
| C25621      | Postal Code                               |               | An alphanumeric code assigned to a mail delivery area.   | Postal Code        |
| C25632      | Province                                  |               | A sub-division of a country created by the central government for administrative purposes. Provinces are usually, but not always, less autonomous than states, and must obey the laws of the central government. | Province           |
| C87194      | State                                     |               | A sub-division of a country that forms part of a federal union. States are usually, but not always, more autonomous than provinces and may have different laws from the central government.                      | State              |
| C25690      | Street Address                            |               | The street name and building number where an entity is located.  | Street Address     |

### **Protocol Contact Role Value Set (Protocol Contact Role Value Set)**

NCI Code: C154681, Codelist extensible: NA

|             | Protocol Contact Role                 | SIDIC. IVA   |  |                                       |
|-------------|---------------------------------------|--|--|---------------------------------------|
|             | Value Set                             |  |  |                                       |
| NCI<br>Code | CDISC Submission<br>Value             | CDISC Synonym                                      | CDISC Definition   | NCI Preferred Term                    |
| C154709     | Biostatistician                       |  | A person who is responsible for the statistical aspects of the clinical or pre-clinical study. (NCI)   | Biostatistician                       |
| C154708     | Clinical Informaticist                | Clinical Informatician                             | An individual that designs, implements, evaluates and/or analyzes information technology in a healthcare or research setting. (NCI)  | Clinical Informaticist                |
| C51811      | Clinical Research<br>Coordinator      | CRC  | A person to whom a clinical investigator delegates routine administrative requirements of a protocol. The duties and responsibilities of a clinical research coordinator may vary across different infrastructures. Generally, the coordinator manages the subject's clinical trial participation and provides a vital linkage between the subject, the investigator, and the sponsor. (NCI)   | Clinical Coordinator                  |
| C127526     | Contact for Public Queries            |  | The study contact person who is responsible for questions from the public. $ \\$   | Public Queries Study<br>Contact       |
| C51818      | Coordinating Investigator             |  | An investigator assigned the responsibility for the coordination of investigators at different centers participating in a multi-center trial. While a single-center study would not include a coordinating investigator, the investigator at the site would fulfill the same responsibilities as a principal investigator. (after ICH E6)  | Coordinating Investigator             |
| C51820      | Data Manager                          |  | An individual who is responsible for the development and implementation of architectures, policies and procedures for the effective management of data across its business lifecycle.  | Data Manager                          |
| C25936      | Investigator                          |  | A person responsible for the conduct of the clinical trial at a trial site. If a trial is conducted by a team of individuals at the trial site, the investigator is the responsible leader of the team and may be called the principal investigator.   | Investigator                          |
| C127532     | Legal Representative for the Study    |  | An individual with expertise in the law who provides legal counsel and representation for a study.   | Legal Representative for the Study    |
| C51836      | Medical Monitor                       |  | A sponsor representative who has medical authority for<br>the evaluation of the safety aspects of a clinical trial.<br>(CDISC Glossary)  | Medical Monitor                       |
| C154706     | National Coordinating<br>Investigator |  | In the case of a multinational study, a person who has the responsibilities of the sponsor of the study in his/her country and will be responsible for the coordination of the principal investigators at different sites within that member state. (EMA)  | National Coordinating<br>Investigator |
| C70794      | Primary Sponsor                       |  | The individual, organization, group or other legal person taking responsibility for securing the arrangements to initiate and/or manage a study (including arrangements to ensure that the study design meets appropriate standards and to ensure appropriate conduct and reporting). In commercial trials, the primary sponsor is normally the main applicant for regulatory authorization to begin the study. It may or may not be the main funder. (NCI)  | Primary Clinical Study<br>Sponsor     |
| C19924      | Principal Investigator                |  | A person who has the primary responsibility for the conduct of a clinical study and study-related personnel at a study site. While a single-center study would not include a coordinating investigator, the investigator at the site would fulfill the same responsibilities as a principal investigator.  | Principal Investigator                |
| C70795      | Secondary Sponsor                     |  | Additional individuals, organizations or other legal persons, if any, that have agreed with the primary sponsor to take on responsibilities of sponsorship. A secondary sponsor may have agreed to take on all the responsibilities of sponsorship jointly with the primary sponsor; or to form a group with the primary sponsor in which the responsibilities of sponsorship are allocated among the members of the group; or to act as the sponsor's legal representative in relation to some or all of the study sites; or to take responsibility for the accuracy of study registration information submitted. | Secondary Clinical Study<br>Sponsor   |
| C70793      | Sponsor                               | Clinical Study<br>Sponsor;Sponsor;Study<br>Sponsor | An entity that is responsible for the initiation, management, and/or financing of a clinical study.  | Clinical Study Sponsor                |

| C154681     | Protocol Contact Role<br>Value Set |                |  |                    |
|-------------|------------------------------------|----------------|--|--------------------|
| NCI<br>Code | CDISC Submission<br>Value          | CDISC Synonym  | CDISC Definition   | NCI Preferred Term |
| C51878      | Study Chair                        | Study Director | A person who has overall responsibility for the technical conduct of a study, as well as for the interpretation, analysis, documentation and reporting of results, and represents the single point of study control. (FDA)                               | Study Chair        |
| C54622      | Subinvestigator                    |                | Any member of the clinical trial team designated and supervised by the investigator at a trial site to perform critical trial-related procedures and/or to make important trial-related decisions (e.g., associates, residents, research fellows). (ICH) | Subinvestigator    |
| C154707     | Technical Lead                     |                | An individual who is responsible for the delivery of technical aspects of a project. (NCI)   | Technical Lead     |

### **Protocol Entity Terminology (Protocol Entity Terminology)**

NCI Code: C132310, Codelist extensible: NA

| C13231<br>0 | Protocol Entity<br>Terminology |  |   |                              |
|-------------|--------------------------------|--|---|------------------------------|
| NCI<br>Code | CDISC Submission Value         | CDISC Synonym  | CDISC Definition  | NCI Preferred Term           |
| C70699      | Biological Sample              | Biological<br>Sample;Biological<br>Specimen;Biospecimen;S<br>ample | Any material collected from a biological entity for testing, diagnostic, propagation, treatment, or research purposes.  | Biospecimen                  |
| C15206      | Clinical Study                 |  | A clinical study involves research using human volunteers (also called participants) that is intended to add to medical knowledge. There are two main types of clinical studies: clinical trials (also called interventional studies) and observational studies. [ClinicalTrials.gov] See also clinical trial. (CDISC Glossary)   | Clinical Study               |
| C71104      | Clinical Trial                 |  | 1) A research investigation involving human subjects that is designed to answer specific questions about the safety and efficacy of a biomedical intervention (drug, treatment, device) or new ways of using a known drug, treatment, or device). 2) A research study in which one or more human subjects are prospectively assigned to one or more interventions (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes.(1. modified from ICH E6 Glossary, Directive 2001/20/EC. 2. NIH revised definition 2015) (CDISC Glossary) | Clinical Trial               |
| C25212      | Endpoint                       |  | A defined variable intended to reflect an outcome measure of interest that is statistically analyzed to address a particular research question. NOTE: A precise definition of an endpoint typically specifies the type of assessments made, the timing of those assessments, the assessment tools used, and possibly other details, as applicable, such as how multiple assessments within an individual are to be combined. [After BEST Resource] (CDISC Glossary)   | End Point                    |
| C51981      | Ingredient                     |  | Any component that constitutes a part of a compound or mixture.   | Ingredient                   |
| C25218      | Intervention                   |  | The drug, device, therapy, or process under investigation in a clinical study that is believed to have an effect on outcomes of interest in a study. [After https://grants.nih.gov/grants/policy/faq_clinical_trial_definitio n.htm#5224] (CDISC-Glossary)  |                              |
| C20200      | Outcome                        |  | Events or experiences that clinicians or investigators examining the impact of an intervention or exposure measure because they believe such events or experiences may be influenced by the research intervention or exposure. Outcome is a general term in that it does not necessarily relate to a planned objective of the study. (FDA)  | Outcome                      |
| C93407      | Outcome Measure                |  | Specific key measurement(s) or observation(s) used to measure the effect of experimental variables on the participants in a study, or for observational studies, to describe patterns of diseases or traits or associations with exposures, risk factors or treatment. (BRIDG)  | Study Outcome<br>Measurement |
| C25407      | Physical Address               |  | A standardized representation of the location of a person, business, building, or organization. (NCI)   | Address                      |
| C174447     | Study Arm                      | Arm  | A planned pathway assigned to the subject as they progress through the study, usually referred to by a name that reflects one or more treatments, exposures, and/or controls included in the path.  | Study Arm                    |
| C154705     | Study Contact<br>Information   |  | Information regarding the means of contacting a person or group that performs a function within a clinical study.   | Study Contact<br>Information |
| C15320      | Study Design                   |  | A plan detailing how a study will be performed in order to represent the phenomenon under examination, to answer the research questions that have been asked, and informing the statistical approach.   | Study Design                 |
| C142707     | Study Monitoring               |  | The act of overseeing the progress of a clinical study and of ensuring that it is conducted, recorded, and reported in accordance with the protocol, standard operating procedures (SOPs), good clinical practice (GCP), and regulatory requirement(s) where applicable. [after ICH E6 Glossary]  | Study Monitoring             |
| C93450      | Study Oversight Entity         |  | A group of individuals that approves, monitors and reviews biomedical research to protect the rights, safety and welfare of the study participants, by providing critical scientific, ethical, and/or regulatory oversight functions.   | Study Oversight<br>Authority |

| C13231<br>0 | Protocol Entity<br>Terminology  |               |  |                                 |
|-------------|---------------------------------|---------------|--|---------------------------------|
| NCI<br>Code | CDISC Submission<br>Value       | CDISC Synonym | CDISC Definition   | NCI Preferred Term              |
| C70833      | Study Population                |               | A group of individuals taken from the general population who share a set of common characteristics, such as age, sex, or health condition, precisely defined in the study protocol. This is a population to which the study results could be reasonably generalized. | Study Population                |
| C174271     | Study Product                   |               | The material artifact(s), such as the trial product, interventional product, study drug, device, or procedure and their comparator(s), that is the focus of the study.   | Study Product                   |
| C177924     | Study Product<br>Administration |               | The act of the dispensing, applying, or tendering a study product to the participant. (NCI)  | Study Product<br>Administration |
| C70817      | Study Protocol                  |               | The formal plan of an experiment or research activity, including the objective, rationale, design, materials and methods for the conduct of the study, intervention description, and method of data analysis.  | Study Protocol                  |

### Randomization Type Value Set (Randomization Type Value Set)

NCI Code: C147069, Codelist extensible: NA

| C147069     | Randomization Type<br>Value Set |                                     |  |                               |
|-------------|---------------------------------|-------------------------------------|--|-------------------------------|
| NCI<br>Code | CDISC Submission<br>Value       | CDISC Synonym                       | CDISC Definition   | NCI Preferred Term            |
| C147126     | Adaptive Randomization          |                                     | A type of randomization schema in which the group assignment probability of a participant is adjusted based on the group assignments of those participants already randomized in the trial.                                | Adaptive Randomization        |
| C147127     | Block Randomization             | Constrained<br>Randomization        | A type of adaptive randomization in which a pre-specified<br>number of participants is assigned to a block containing<br>the same pre-specified number of balanced group<br>assignments in random order.                   | Block Randomization           |
| C147143     | Minimization<br>Randomization   | Covariate Adaptive<br>Randomization | A type of adaptive randomization in which the participant is assigned to the treatment group in an attempt to minimize imbalances in the number of participants for each stratification covariate across treatment groups. | Minimization<br>Randomization |
| C147144     | Simple Randomization            | Unrestricted<br>Randomization       | A type of randomization schema in which each participant has the same chance of being randomized into any one group as all other participants.   | Simple Randomization          |
| C147145     | Stratified Randomization        |                                     | A type of block randomization in which participants are stratified into groups based on prognostic variables and then randomized into balanced treatment groups.   | Stratified Randomization      |
| C142743     | Unequal Randomization           |                                     | A type of randomization schema in which unequal numbers of participants are purposely assigned to multiple treatment groups.   | Unequal Randomization         |

### Study Arm Attribute Terminology (Study Arm Attribute Terminology)

NCI Code: C172329, Codelist extensible: NA

| C172329     | Study Arm Attribute Terminology             |                 |   |   |
|-------------|---|-----------------|---|---|
| NCI<br>Code | CDISC Submission<br>Value                   | CDISC Synonym   | CDISC Definition  | NCI Preferred Term                          |
| C172458     | Planned Number of<br>Subjects Per Study Arm |                 | The total number of subjects intended to be included within each arm for the study. (NCI) | Planned Number of<br>Subjects Per Study Arm |
| C93728      | Study Arm Description                       | Arm Description | The textual representation of the arm for the study.                                      | Arm Description                             |
| C172456     | Study Arm Label                             | Arm Label       | The given name of the arm for the study. (NCI)  | Study Arm Label                             |
| C172457     | Study Arm Type                              | Arm Type        | The identification of the kind of arm(s) for the study. (NCI) $\label{eq:NCI}$            | Study Arm Type                              |

### Study Arm Type Value Set Terminology (Study Arm Type Value Set Terminology)

NCI Code: C174222, Codelist extensible: NA

| C174222     | Study Arm Type Value<br>Set Terminology |                       |   |                        |
|-------------|---|-----------------------|---|------------------------|
| NCI<br>Code | CDISC Submission<br>Value               | CDISC Synonym         | CDISC Definition  | NCI Preferred Term     |
| C174267     | Active Comparator Arm                   |                       | An arm describing the active comparator.  | Active Comparator Arm  |
| C174226     | Control Arm                             |                       | An arm describing the intervention or treatment plan for<br>a group of participants in the study receiving a control.<br>The control may comprise a non-investigational product<br>(active control) or regimen, placebo, or no treatment. | Control Arm            |
| C174266     | Experimental Arm                        | Investigational Arm   | An arm describing the intervention or treatment plan for a group of participants in the study receiving test product(s).  | Investigational Arm    |
| C174270     | No Intervention Arm                     |                       | A study arm without an intervention or treatment.   | No Intervention Arm    |
| C174268     | Placebo Comparator Arm                  | Placebo Control Arm   | An arm describing the placebo comparator.   | Placebo Control Arm    |
| C174269     | Sham Comparator Arm                     | Sham Intervention Arm | An arm describing the sham comparator.  | Sham Comparator Arm    |
| C15538      | Treatment Arm                           |                       | An arm describing the intervention or treatment plan for a group of participants in the study. Treatment may consist of either experimental or control products under investigation.  | Protocol Treatment Arm |

### Study Contact Information Attribute Terminology (Study Contact Information Attribute Terminology)

NCI Code: C154682, Codelist extensible: NA

| C154682     | Study Contact<br>Information Attribute<br>Terminology |                        |  |                                    |
|-------------|---|------------------------|--|------------------------------------|
| NCI<br>Code | CDISC Submission<br>Value                             | CDISC Synonym          | CDISC Definition   | NCI Preferred Term                 |
| C25354      | Academic Degree                                       |                        | An academic rank conferred by a college, university, or other postsecondary education institution as official recognition for the successful completion of a program of studies.   | Academic Degree                    |
| C42775      | E-mail Address  | Email Address          | A text string identifier for a location to which electronic mail can be delivered. (NCI)   | E-mail Address                     |
| C42879      | Fax Number  | Facsimile Number       | A telephone number that is used for identifying a specific fax machine in a telephone network.   | Fax Number                         |
| C154704     | Organizational Affiliation                            |                        | The name of the organization or entity that the person or group has an established relationship with.  | Organizational Affiliation<br>Name |
| C25191      | Person Name   | Individual's Name;Name | A word or group of words indicating the identity of a person usually consisting of a first (personal) name and a last (family) name with an optional middle name. In some cultural traditions the family name comes first. | Person Name                        |
| C25407      | Physical Address                                      |                        | A standardized representation of the location of a person, business, building, or organization. (NCI)  | Address                            |
| C48835      | Role  |                        | The usual or expected function of something; the part something plays in an action or event. (NCI)   | Role                               |
| C40978      | Telephone Number                                      | Phone Number           | A sequence of decimal digits (0-9) that is used for identifying a specific telephone line or other device in a telephone network.  | Telephone Number                   |

### Study Design Attribute Terminology (Study Design Attribute Terminology)

NCI Code: C147066, Codelist extensible: NA

| C147066     | Study Design Attribute<br>Terminology |   |  |                              |
|-------------|---------------------------------------|---|--|------------------------------|
| NCI<br>Code | CDISC Submission Value                | CDISC Synonym   | CDISC Definition   | NCI Preferred Term           |
| C49068      | Blinding                              | Masking   | A process to limit bias by preventing subjects and/ or study personnel from identifying which treatments or procedures are administered, or from learning the results of tests and measures undertaken as part of a clinical investigation. (CDISC Glossary)   | Blinded                      |
| C98746      | Interventional Study<br>Design        | Intervention Model  | The general design of the strategy for assigning interventions to participants in a clinical study. (clinicaltrials.gov)   | Intervention Model           |
| C147138     | Observational Study<br>Design         | Observation Model   | The general design of the strategy for identifying and following up with participants during observational studies. (clinicaltrials.gov)   | Observational Study<br>Model |
| C147139     | Overall Study Design                  | Overall Design;Study<br>Design Description;Study<br>Design<br>Overview;Summary of<br>Study Design | Summary description of the overall study plan and design, should include treatments studied, population studied, level and method of blinding/unmasking, kind of controls, method of assignment to treatment, sequence and duration of study periods, any safety, data monitoring or special steering or evaluation committees, and interim analyses. (ICH E3) | Study Design Description     |
| C52580      | Participant Allocation                | Subject Allocation  | The process of assigning participants to particular treatment groups or cohorts in a clinical study.   | Allocation                   |
| C98771      | Planned Number of Arms                | Planned Number of Arms  | The planned number of intervention groups.   | Planned Number of Arms       |
| C147137     | Planned Number of Cohorts             |   | The planned number of study groups.  | Planned Number of Cohorts    |
| C49692      | Planned Number of<br>Participants     | Anticipated Enrollment; Planned Enrollment; Planned Number of Subjects; Target Enrollment         | The planned number of subjects to be entered in a clinical trial. (NCI)  | Planned Subject Number       |
| C147140     | Randomization Type                    |   | A characterization or classification of the process of assigning trial subjects to treatment or control groups using an element of chance to determine the assignments in order to reduce bias.  | Randomization Method         |
| C25689      | Stratification                        |   | Grouping defined by important prognostic factors measured at baseline. (ICH E9)  | Stratification               |
| C16153      | Stratification Factor                 | Stratification Factor   | Selected factors that are used during randomization to ensure there is balance of these factors across all subjects within each arm of a study. The subject level values of these factors may be used as fixed effects in statistical models and for sensitivity analyses.   | Stratification Factors       |
| C142705     | Study Design Rationale                |   | Reason(s) for choosing the study design. This may include reasons for the choice of control or comparator, as well as the scientific rationale for the study design.   | Study Design Rationale       |
| C142668     | Study Hypothesis                      |   | A supposition or proposal made to explain certain observations or facts, which requires further investigation or exploration within a clinical study. (NCI)  | Research Hypothesis          |
| C147141     | Study Primary Purpose                 |   | The principal reason or intention for the execution of an interventional or non-interventional clinical study. (NCI)   | Study Primary Purpose        |
| C147142     | Study Secondary Purpose               |   | The ancillary reason or intention for the execution of an interventional or non-interventional clinical study. (NCI)   | Study Secondary Purpose      |
| C49658      | Trial Blinding Schema                 | Trial Blinding Schema   | The type of experimental design used to describe the level of awareness of the clinical trial subjects and/or investigators of the intervention(s) that they are receiving and/or administering.   | Trial Blinding Schema        |

### Study Monitoring Attribute Terminology (Study Monitoring Attribute Terminology)

NCI Code: C163026, Codelist extensible: NA

| C163026     | Study Monitoring<br>Attribute Terminology |  |   |                                    |
|-------------|---|--|---|------------------------------------|
| NCI<br>Code | CDISC Submission<br>Value                 | CDISC Synonym  | CDISC Definition  | NCI Preferred Term                 |
| C115753     | Clinical Monitoring Plan                  |  | A description of the strategy, methods, responsibilities, and requirements for monitoring the study. (ICH E6(R2))   | Clinical Trial Monitoring<br>Plan  |
| C163406     | Data and Safety<br>Monitoring Plan        | DSMP;Data Safety<br>Monitoring Plan;Safety<br>Data Monitoring Plan | A written plan that prospectively identifies and documents monitoring activities intended to protect the safety of the participants, the validity of the data and the integrity of the research study. The DSMP may also identify when to terminate a participant's participation (i.e. individual stopping rules) and/or the appropriate termination of a study (i.e. study stopping rules). (Mayo Clinic) | Data and Safety<br>Monitoring Plan |
| C142488     | Data Monitoring                           |  | Review of study data for completeness, consistency, and accuracy for the duration of the study lifecycle.   | Data Monitoring                    |
| C163407     | GCP Adherence<br>Statement                | Good Clinical Practice<br>Adherence Statement                      | A written message that asserts, affirms, or declares that the study is conducted in accordance with Good Clinical Practice (GCP).   | GCP Adherence<br>Statement         |
| C142674     | Risk Monitoring                           |  | A systematic, prioritized approach that involves identifying, assessing, monitoring and mitigating the risks that could affect the quality of the study or safety of the study participants.  | Risk Based Monitoring              |
| C163408     | Safety Data Monitoring                    |  | Review of cumulative safety data to identify possible safety concerns.  | Safety Data Monitoring             |
| C163409     | Safety Monitoring                         |  | Review of safety data to ensure safety of the individuals who are participating in the study, or to identify potential safety concerns for the duration of the study lifecycle.   | Safety Monitoring                  |
| C163410     | Study Monitoring<br>Statement             |  | A written message that asserts, affirms, or declares that the study will be monitored in adherence to a clinical monitoring plan and in accordance with Good Clinical Practice (GCP).   | Study Monitoring<br>Statement      |
| C163411     | Suicidal Risk Monitoring                  |  | A systematic approach to identify and assess the risks of participant suicidal ideation and/or suicide.   | Suicidal Risk Monitoring           |
| C15789      | Trial Monitoring                          |  | The act of overseeing the progress of a clinical trial and of ensuring that it is conducted, recorded, and reported in accordance with the protocol, standard operating procedures (SOPs), good clinical practice (GCP), and the applicable regulatory requirement(s). [ICH E6 Glossary]  | Clinical Trials, Monitoring        |

### Study Oversight Entity Attribute Terminology (Study Oversight Entity Attribute Terminology)

NCI Code: C165640, Codelist extensible: NA

| C165640     | Study Oversight Entity<br>Attribute Terminology |               |   |   |
|-------------|---|---------------|---|---|
| NCI<br>Code | CDISC Submission<br>Value                       | CDISC Synonym | CDISC Definition  | NCI Preferred Term                        |
| C165862     | Study Oversight Entity<br>Approval Date         |               | The date on which the study oversight entity grants approval.   | Study Oversight Entity<br>Approval Date   |
| C165863     | Study Oversight Entity<br>Approval Status       |               | The state of the study oversight entity approval process.   | Study Oversight Entity<br>Approval Status |
| C165864     | Study Oversight Entity<br>Type                  |               | A characterization or classification of the group of individuals that approves, monitors and reviews biomedical research to protect the rights, safety and welfare of the study participants, by providing critical scientific, ethical, and/or regulatory oversight functions. | Study Oversight Entity<br>Type            |

### Study Population Attribute Terminology (Study Population Attribute Terminology)

NCI Code: C160921, Codelist extensible: NA

| C160921     | Study Population<br>Attribute Terminology |  |   |  |
|-------------|---|--|---|--|
| NCI<br>Code | CDISC Submission<br>Value                 | CDISC Synonym                                  | CDISC Definition  | NCI Preferred Term                     |
| C161320     | Comorbid Condition                        |  | Medical or health condition that is concomitant or concurrent with the primary condition or disease under study.  | Comorbid Condition                     |
| C161319     | Condition or Disease under Study          |  | Primary disease(s) or condition(s) being studied in the trial, or the focus of the study. (clinicaltrials.gov)  | Condition or Disease under Study       |
| C28143      | Control Group                             |  | A study population that is defined for the purpose of comparison to the treatment group in a controlled trial. In an epidemiological study, a study population that does not have the outcome of interest.  | Control Group                          |
| C161324     | Demographic Group                         |  | A descriptive characterization of the study population (e.g., age, sex, race, education, etc.).   | Demographic Group                      |
| C161323     | Experimental Group                        |  | A study population that receives the intervention that is the focus of the study.   | Experimental Group                     |
| C161316     | Females of Childbearing<br>Potential      | FOCBP;WOCBP;Women of<br>Childbearing Potential | Female study subjects or patients who have the potential to become pregnant, i.e., those who have experienced menarche and who have not undergone surgical sterilization and are not postmenopausal.  | Female of Childbearing<br>Potential    |
| C16669      | General Health Status                     |  | The state of a subject's mental or physical condition.  | Health Status                          |
| C49651      | Healthy Volunteer                         | Healthy Subject                                | An individual who is or becomes a participant in a research study and has no significant health-related issues. (NCI)   | Healthy Subject                        |
| C161318     | Justification of Special<br>Population    |  | An explanation with defensible proof as to the reason why a special population of subjects is included in the clinical study.   | Justification of Special<br>Population |
| C161317     | Population Rationale                      |  | An explanation as to the logical reasons for why a specific population of subjects is being considered for inclusion in a clinical study.   | Population Rationale                   |
| C161321     | Reference Group                           | Reference Group for<br>Study Sample Population | The study population that is defined for the purpose of comparison to the population under investigation.   | Reference Group                        |
| C142728     | Target Study Population                   | Target Population                              | The population within the general population for which the study results can be generalized.  | Target Study Population                |
| C161322     | Treatment Group                           |  | A study population that receives an intervention(s) within a trial. This could include the investigational product(s) or a comparator (e.g., placebo or an approved intervention).  | Treatment Group                        |
| C142747     | Vulnerable Population                     |  | Individuals whose willingness to volunteer in a clinical trial may be unduly influenced by the expectation, whether justified or not, of benefits associated with participation, or of a retaliatory response from senior members of a hierarchy in case of refusal to participate. Examples include subordinate members of a group with a hierarchical structure, patients with incurable diseases, persons in nursing homes, unemployed or impoverished persons, patients in emergency situations, ethnic minority groups, homeless persons, nomads, refugees, minors, and those incapable of giving consent. (ICH) | Vulnerable Subjects                    |

### Study Product Administration Attribute (Study Product Administration Attribute)

NCI Code: C177904, Codelist extensible: NA

| C177904     | Study Product<br>Administration<br>Attribute |                                       |   |  |
|-------------|--|---------------------------------------|---|--|
| NCI<br>Code | CDISC Submission<br>Value                    | CDISC Synonym                         | CDISC Definition  | NCI Preferred Term                         |
| C42636      | Dosage Form                                  | Dose Form                             | The physical form in which active and/or inert ingredient(s) are presented.   | Pharmaceutical Dosage<br>Form              |
| C142516     | Dosage Regimen                               |                                       | The schedule of doses of a therapeutic agent per unit of time, including: the time between doses (e.g., every 6 hours) or the time when the dose(s) are to be given (e.g., at 8 a.m. and 4 p.m. daily), and the amount of a medicine (e.g., number of capsules) to be given at each specific time. (Segen's Medical Dictionary) | Dosage Regimen                             |
| C25488      | Dose   | Dose Level;Dose per<br>Administration | The amount of study drug (or placebo) administered to a patient or test subject to be taken at one time or at stated intervals.   | Dose                                       |
| C89081      | Dose Frequency                               | Dosing Frequency                      | The number of doses administered per a specific interval.   | Dose Frequency                             |
| C177925     | Justification for Dosage                     |                                       | The rationale or explanation for the planned dose(s).   | Justification for Planned<br>Dosage        |
| C177926     | Justification of Administration              |                                       | The rationale or explanation for the planned mode of delivery.  | Justification of Planned<br>Administration |
| C38114      | Route of Administration                      | Route of Administration               | The pathway by which a substance is administered in order to reach the site of action in the body.  | Route of Administration                    |

### Study Product Attribute Terminology (Study Product Attribute Terminology)

NCI Code: C174220, Codelist extensible: NA

| C174220     | Study Product<br>Attribute Terminology |                                       |  |   |
|-------------|--|---------------------------------------|--|---|
| NCI<br>Code | CDISC Submission Value                 | CDISC Synonym                         | CDISC Definition   | NCI Preferred Term                                  |
| C176267     | Study Product<br>Accountability        |                                       | The act or process for documenting the storage, inventory tracking, and disposition of the study product.  | Study Product<br>Accountability                     |
| C176266     | Study Product Acquisition              |                                       | The act or process by which the study product is obtained by the study site or investigator. $ \\$   | Study Product Acquisition                           |
| C176269     | Study Product<br>Appearance            |                                       | The outward or visible aspect of the study product.  | Study Product<br>Appearance                         |
| C176268     | Study Product<br>Formulation           |                                       | The composition of the study product, which may include active and inactive ingredients, dose, and dosage form.  | Study Product<br>Formulation                        |
| C176271     | Study Product Labeling                 |                                       | The written, printed, or graphic matter on, or accompanying, the study product or its packaging.   | Study Product Labeling                              |
| C176275     | Study Product<br>Manufacturer          |                                       | The enterprise or entity that produces the study product.  | Study Product<br>Manufacturer                       |
| C176270     | Study Product Packaging                |                                       | The material type and configuration used to contain the study product.   | Study Product Packaging                             |
| C98768      | Study Product<br>Pharmacologic Class   | Pharmacologic Class                   | The pharmacological class of the investigational product.  | Pharmacological Class of<br>Investigational Therapy |
| C176274     | Study Product<br>Preparation           |                                       | Instructions for the act of making ready the study product for use or administration. $ \\$  | Study Product<br>Preparation                        |
| C176273     | Study Product Stability                |                                       | The parameters under which the study product retains the same properties and characteristics that it possessed at the time of its manufacture for its intended use or administration. (After Anissa W. Wong, Aruna Datla.13-Assay and Stability Testing, Editor(s): Satinder Ahuja, Michael W. Dong, Separation Science and Technology, Academic Press, Volume 6, 2005, Pages 335-358) | Study Product Stability                             |
| C176272     | Study Product Storage                  |                                       | The physical or environmental conditions under which the study product is maintained. $ \\$  | Study Product Storage                               |
| C177927     | Study Product<br>Therapeutic Class     | Study Product<br>Therapeutic Category | The classification of a study product based on the disease, disorder, or condition it is intended to treat.  | Study Product<br>Therapeutic Class                  |
| C174265     | Study Product Type                     |                                       | The characterization or classification of the material artifact(s) that is the focus of the study.   | Study Product Type                                  |

### Study Product Type Value Set Terminology (Study Product Type Value Set Terminology)

NCI Code: C174221, Codelist extensible: NA

| C174221     | Study Product Type<br>Value Set Terminology |                          |  |                         |
|-------------|---|--------------------------|--|-------------------------|
| NCI<br>Code | CDISC Submission<br>Value                   | CDISC Synonym            | CDISC Definition   | NCI Preferred Term      |
| C68609      | Active Comparator                           | Active Control           | A type of control, which has a demonstrated effect, administered as a comparator to subjects in a clinical trial. [From ICH E10]   | Active Comparator       |
| C142703     | Control Product                             |                          | A comparator product against which the study treatment is evaluated [e.g., concurrent (placebo, no treatment, dose-response, active), and external (historical, published literature)]. [After ICH E10]  | Study Control           |
| C142587     | Investigational Product                     | Experimental Product     | A material (such as a drug, biologic, or device) produced by or resulting from a process, which is being tested in a study. This may also include a product with a marketing authorization when used or assembled (formulated or packaged) in a way different from the approved form, or when used for an unapproved indication, or when used to gain further information about an approved use. [After ICH] | Investigational Product |
| C49648      | Placebo Comparator                          | Placebo; Placebo Control | An inactive, identical-appearing drug or treatment that does not contain the test product.   | Placebo Control         |
| C116527     | Sham Comparator                             | Sham Intervention        | A procedure or device that appears to be the same as the actual procedure or device being studied but does not contain active processes or components.   | Sham Intervention       |

### Study Protocol Attribute Terminology (Study Protocol Attribute Terminology)

NCI Code: C132309, Codelist extensible: NA

| C132309     | Study Protocol<br>Attribute Terminology |   |   |   |
|-------------|---|---|---|---|
| NCI<br>Code | CDISC Submission<br>Value               | CDISC Synonym                                       | CDISC Definition  | NCI Preferred Term                              |
| C132344     | Alternate Protocol<br>Identifier        |   | A unique code assigned by an affiliated governing body or other organization that identifies a specific protocol (e.g., grant number, national number).   | Alternate Protocol<br>Identifier                |
| C132345     | Brief Protocol Title                    | Abbreviated Protocol Title                          | The short descriptive name for the protocol.  | Brief Protocol Title                            |
| C132346     | Official Protocol Title                 |   | The formal descriptive name for the protocol.   | Official Protocol Title                         |
| C132347     | Protocol Amendment                      |   | A written description of a change(s) to, or formal clarification of, a protocol. (ICH E6)   | Protocol Amendment                              |
| C51853      | Protocol Author                         |   | $\ensuremath{A}$ person who is the writer of a structured research study protocol.  | Protocol Author                                 |
| C115628     | Protocol Synopsis                       | Protocol Scientific<br>Summary                      | A scientific summary of the key points of the protocol.   | Clinical Trial Protocol<br>Synopsis             |
| C94105      | Public Protocol Title                   |   | The descriptive name of the protocol that is intended for the lay public, written in easily understood language.  | Study Protocol Document<br>Version Public Title |
| C132348     | Registry Protocol<br>Identifier         |   | A unique code assigned by a clinical trial registry that identifies a specific protocol.  | Registry Protocol<br>Identifier                 |
| C132349     | Schedule of Activities                  | Schedule of Events;SoA                              | A standardized representation of planned clinical trial activities including interventions (e.g., administering drug, surgery) and study administrative activities (e.g., obtaining informed consent, distributing clinical trial material and diaries, randomization) as well as assessments. (CDISC Glossary) | Schedule of Activities                          |
| C132350     | Scientific Protocol Title               |   | A more extensive descriptive name of the protocol that is intended for medical professionals, written using medical and scientific language.  | Scientific Protocol Title                       |
| C132351     | Sponsor Protocol<br>Identifier          | Sponsor Protocol<br>Code;Sponsor Protocol<br>Number | A unique code assigned by the sponsor that identifies a specific protocol.  | Sponsor Protocol<br>Identifier                  |
| C94108      | Study Acronym                           | Trial Acronym                                       | A word or words formed from the beginning letters or a combination of syllables and letters of a compound term, which identifies a clinical study.  | Study Protocol Version<br>Acronym               |
| C93490      | Study Protocol Version                  |   | A plan at a particular point in time for a formal investigation to assess the utility, impact, pharmacological, physiological, and/or psychological effects of a particular treatment, procedure, drug, device, biologic, food product, cosmetic, care plan, or subject characteristic. (BRIDG)                 | Study Protocol Version                          |
| C132352     | Study Protocol Version<br>Approval Date |   | The date on which a version of the protocol was finalized or approved by the sponsor.   | Protocol Approval Date                          |

### Study Purpose Value Set (Study Purpose Value Set)

NCI Code: C147067, Codelist extensible: NA

| C147067     | Study Purpose Value<br>Set |                |  |                          |
|-------------|----------------------------|----------------|--|--------------------------|
| NCI<br>Code | CDISC Submission<br>Value  | CDISC Synonym  | CDISC Definition   | NCI Preferred Term       |
| C15714      | Basic Science              | Basic Research | A type of study designed to examine the basic mechanism of action (e.g., physiology, biomechanics) of an intervention. (ClinicalTrials.gov)  | Basic Research           |
| C139174     | Device Feasibility         |                | An intervention of a device product is being evaluated to determine the feasibility of the product or to test a prototype device and not health outcomes. Such studies are conducted to confirm the design and operating specifications of a device before beginning a full clinical trial. (ClinicalTrials.gov) | Device Feasibility Study |
| C15220      | Diagnosis                  | Diagnostic     | The investigation, analysis and recognition of the presence and nature of disease, condition, or injury from expressed signs and symptoms; also, the scientific determination of any kind; the concise results or summary of such an investigation. (NCI)  | Diagnosis                |
| C147146     | Exploratory Research       |                | Any action or process to perform research on a hypothetical or theoretical idea in order to determine whether the phenomena is new (which may lead to additional studies) or can be explained by an existing and well-substantiated theory. (NCI)  | Exploratory Research     |
| C15245      | Health Services Research   |                | A type of study designed to evaluate the delivery, processes, management, organization or financing of health care. (ClinicalTrials.gov)   | Health Services Research |
| C147147     | Hypothesis Generation      |                | Any action or process to create a tentative proposal to explain certain observations or facts, and which requires further investigation to be verified. (NCI)  | Hypothesis Generation    |
| C15843      | Prevention                 | Prophylaxis    | Any action or response to modify or stop the development of a disease.   | Preventive Intervention  |
| C15419      | Screening                  |                | Any action or process to identify a condition, or risk factors for a condition, in humans who are not yet known to have the condition or risk factor. (clinicaltrials.gov)   | Disease Screening        |
| C15747      | Supportive Care            |                | Any action or process to maximize comfort, minimize side effects, or mitigate against a decline in the participant's health or function. (clinicaltrials.gov)  | Supportive Care          |
| C70742      | Treatment                  |                | Any action or process to improve or remedy a syndrome, disease, or condition.  | Treat                    |

### **STYPE (Study Type Response)**

NCI Code: C99077, Codelist extensible: No

| C99077      | STYPE                     |               |  |                        |  |
|-------------|---------------------------|---------------|--|------------------------|--|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym | CDISC Definition   | NCI Preferred Term     |  |
| C98722      | EXPANDED ACCESS           |               | Studies that provide a means for obtaining an experimental drug or device for patients who are not adequately treated by existing therapy, who do not meet the eligibility criteria for enrollment, or who are otherwise unable to participate in another clinical study. Expanded Access studies include individual-patient IND, treatment IND, compassionate use, emergency use or continued access.   | Expanded Access Study  |  |
| C98388      | INTERVENTIONAL            |               | Studies in which individuals are assigned by an investigator based on a protocol to receive specific interventions. Subjects may receive diagnostic, therapeutic or other types of interventions. The assignment of the intervention may or may not be random. The individuals are then followed and biomedical and/or health outcomes are assessed.   | Interventional Study   |  |
| C16084      | OBSERVATIONAL             |               | Studies in which biomedical and/or health outcomes are assessed in pre-defined groups of individuals. Subjects in the study may receive diagnostic, therapeutic, or other interventions, but the investigator does not assign specific interventions to the subjects of the study.   | Observational Study    |  |
| C129000     | PATIENT REGISTRY          |               | Observational studies which include an organized system that uses observational methods to collect uniform data (clinical and other) prospectively for a population defined by a particular disorder/disease, condition (including susceptibility to a disorder), or exposure (including products, health care services, and/or procedures) and that serves a predetermined scientific, clinical, or policy purpose. Patient registries may be single purpose or ongoing data collection programs that address one or more questions. (AHRQ) | Patient Registry Study |  |

### **TINDTP (Trial Intent Type Response)**

NCI Code: C66736, Codelist extensible: Yes

| C66736      | TINDTP                      |                   |  |                                      |
|-------------|-----------------------------|-------------------|--|--------------------------------------|
| NCI<br>Code | CDISC Submission<br>Value   | CDISC Synonym     | CDISC Definition   | NCI Preferred Term                   |
| C15714      | BASIC SCIENCE               | Basic Research    | A type of study designed to examine the basic mechanism of action (e.g., physiology, biomechanics) of an intervention. (ClinicalTrials.gov)  | Basic Research                       |
| C49654      | CURE                        |                   | A type of study designed to evaluate intervention(s) aimed to cure a disease or condition.   | Cure Study                           |
| C139174     | DEVICE FEASIBILITY          |                   | An intervention of a device product is being evaluated to determine the feasibility of the product or to test a prototype device and not health outcomes. Such studies are conducted to confirm the design and operating specifications of a device before beginning a full clinical trial. (ClinicalTrials.gov) | Device Feasibility Study             |
| C49653      | DIAGNOSIS                   |                   | A type of study designed to evaluate intervention(s) aimed at identifying a disease or condition.  | Diagnosis Study                      |
| C170629     | DISEASE MODIFYING           |                   | A type of study designed to evaluate the effects of treatment(s) intended to cause a change in disease, syndrome, or condition beyond the point of treatment administration.   | Disease Modifying<br>Treatment Study |
| C15245      | HEALTH SERVICES<br>RESEARCH |                   | A type of study designed to evaluate the delivery, processes, management, organization or financing of health care. (ClinicalTrials.gov)   | Health Services Research             |
| C49655      | MITIGATION                  |                   | A type of study designed to identify actions necessary to eliminate or reduce the risk to human life or well-being as a result of a particular medication or treatment regimen. (NCI)  | Adverse Effect Mitigation<br>Study   |
| C49657      | PREVENTION                  | Prophylaxis Study | A type of study designed to identify actions necessary to permanently eliminate or reduce the long-term risk to human life as a result of a particular medication or treatment regimen.  | Prevention Study                     |
| C71485      | SCREENING                   |                   | A type of study designed to assess or examine methods of identifying a condition (or risk factors for a condition) in people who are not yet known to have the condition (or risk factor). (Clinicaltrials.gov)  | Screening Study                      |
| C71486      | SUPPORTIVE CARE             |                   | A type of study designed to evaluate one or more interventions where the primary intent is to maximize comfort, minimize side effects or mitigate against a decline in the subject's health or function. In general, supportive care interventions are not intended to cure a disease. (ClinicalTrials.gov)      | Supportive Care Study                |
| C49656      | TREATMENT                   | Therapy Trial     | A type of study designed to evaluate intervention(s) for treatment of disease, syndrome or condition.  | Treatment Study                      |

### **TPHASE (Trial Phase Response)**

NCI Code: C66737, Codelist extensible: Yes

| C66737      | TPHASE                    | SIDIC: TCS                            |  |                    |
|-------------|---------------------------|---------------------------------------|--|--------------------|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym                         | CDISC Definition   | NCI Preferred Term |
| C48660      | NOT APPLICABLE            | NA;Not Applicable                     | Determination of a value is not relevant in the current context. (NCI)   | Not Applicable     |
| C54721      | PHASE 0 TRIAL             | 0;Pre-clinical Trial;Trial<br>Phase 0 | First-in-human trials, in a small number of subjects, that are conducted before Phase 1 trials and are intended to assess new candidate therapeutic and imaging agents. The study agent is administered at a low dose for a limited time, and there is no therapeutic or diagnostic intent. NOTE: FDA Guidance for Industry, Investigators, and Reviewers: Exploratory IND Studies, January 2006 classifies such studies as Phase 1. NOTE: A Phase 0 study might not include any drug delivery but may be an exploration of human material from a study (e.g., tissue samples or biomarker determinations). [Improving the Quality of Cancer Clinical Trials: Workshop summary-Proceedings of the National Cancer Policy Forum Workshop, improving the Quality of Cancer Clinical Trials (Washington, DC, Oct 2007)] (CDISC glossary)  | Phase 0 Trial      |
| C15600      | PHASE I TRIAL             | 1;Trial Phase 1                       | The initial introduction of an investigational new drug into humans. Phase 1 studies are typically closely monitored and may be conducted in patients or normal volunteer subjects. NOTE: These studies are designed to determine the metabolism and pharmacologic actions of the drug in humans, the side effects associated with increasing doses, and, if possible, to gain early evidence on effectiveness. During Phase 1, sufficient information about the drug's pharmacokinetics and pharmacological effects should be obtained to permit the design of well-controlled, scientifically valid, Phase 2 studies. The total number of subjects and patients included in Phase I studies varies with the drug, but is generally in the range of 20 to 80. Phase 1 studies also include studies of drug metabolism, structure-activity relationships, and mechanism of action in humans, as well as studies in which investigational drugs are used as research tools to explore biological phenomena or disease processes. [After FDA CDER Handbook, ICH E8] (CDISC glossary) |                    |
| C15693      | PHASE I/II TRIAL          | 1-2;Trial Phase 1-2                   | A class of clinical study that combines elements characteristic of traditional Phase I and Phase II trials. See also Phase I, Phase II.  | Phase I/II Trial   |
| C15601      | PHASE II TRIAL            | 2;Trial Phase 2                       | Phase 2. Controlled clinical studies conducted to evaluate the effectiveness of the drug for a particular indication or indications in patients with the disease or condition under study and to determine the common short-term side effects and risks associated with the drug. NOTE: Phase 2 studies are typically well controlled, closely monitored, and conducted in a relatively small number of patients, usually involving no more than several hundred subjects. [After FDA CDER Handbook, ICH E8] (CDISC glossary)  | Phase II Trial     |
| C15694      | PHASE II/III TRIAL        | 2-3;Trial Phase 2-3                   | A class of clinical study that combines elements characteristic of traditional Phase II and Phase III trials.  | Phase II/III Trial |
| C49686      | PHASE IIA TRIAL           | 2A;Trial Phase 2A                     | A clinical research protocol generally referred to as a pilot or feasibility trial that aims to prove the concept of the new intervention in question. (NCI)   | Phase IIa Trial    |
| C49688      | PHASE IIB TRIAL           | 2B;Trial Phase 2B                     | A clinical research protocol generally referred to as a well-controlled and pivotal trial that aims to prove the mechanism of action of the new intervention in question. A pivotal study will generally be well-controlled, randomized, of adequate size, and whenever possible, double-blind. (NCI)  | Phase IIb Trial    |
| C15602      | PHASE III TRIAL           | 3;Trial Phase 3                       | Phase 3. Studies are expanded controlled and uncontrolled trials. They are performed after preliminary evidence suggesting effectiveness of the drug has been obtained, and are intended to gather the additional information about effectiveness and safety that is needed to confirm efficacy and evaluate the overall benefit-risk relationship of the drug and to provide an adequate basis for physician labeling. NOTE: Phase 3 studies usually include from several hundred to several thousand subjects. [After FDA CDER Handbook, ICH E8] (CDISC glossary)  | Phase III Trial    |
| C49687      | PHASE IIIA TRIAL          | 3A;Trial Phase 3A                     | A classification typically assigned retrospectively to a<br>Phase III trial upon determination by regulatory<br>authorities of a need for a Phase III B trial. (NCI)   | Phase IIIa Trial   |

| C66737      | TPHASE                    |                   |  |                    |  |
|-------------|---------------------------|-------------------|--|--------------------|--|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym     | CDISC Definition   | NCI Preferred Term |  |
| C49689      | PHASE IIIB TRIAL          | 3B;Trial Phase 3B | A subcategory of Phase III trials done near the time of approval to elicit additional findings. NOTE: Dossier review may continue while associated Phase IIIB trials are conducted. These trials may be required as a condition of regulatory authority approval.  | Phase IIIb Trial   |  |
| C15603      | PHASE IV TRIAL            | 4;Trial Phase 4   | Phase 4. Postmarketing (Phase 4) studies to delineate additional information about the drug's risks, benefits, and optimal use that may be requested by regulatory authorities in conjunction with marketing approval. NOTE: These studies could include, but would not be limited to, studying different doses or schedules of administration than were used in Phase 2 studies, use of the drug in other patient populations or other stages of the disease, or use of the drug over a longer period of time. [After FDA CDER Handbook, ICH E8] (CDISC glossary) | Phase IV Trial     |  |
| C47865      | PHASE V TRIAL             | 5;Trial Phase 5   | Postmarketing surveillance is sometimes referred to as Phase V.  | Phase V Trial      |  |

### **TTYPE (Trial Type Response)**

NCI Code: C66739, Codelist extensible: Yes

| C66739      | TTYPE                      |                               |  |                                  |
|-------------|----------------------------|-------------------------------|--|----------------------------------|
| NCI<br>Code | CDISC Submission<br>Value  | CDISC Synonym                 | CDISC Definition   | NCI Preferred Term               |
| C158283     | ADHESION<br>PERFORMANCE    |                               | A type of study designed to evaluate the strength of the bond between an adhesive and the application surface.   | Adhesion Performance<br>Study    |
| C158284     | ALCOHOL EFFECT             |                               | A type of study designed to evaluate the effects of alcohol on investigational product safety and/or efficacy.   | Alcohol Effect Study             |
| C49664      | BIO-AVAILABILITY           |                               | A study of the degree to which or rate at which a drug or other substance is absorbed or becomes available at the site of physiological activity after administration. (NCI)   | Bioavailability Study            |
| C49665      | BIO-EQUIVALENCE            |                               | A study most often used to compare the efficacy of different formulations to treat a given disease. It is the testing of an old versus a new formulation in healthy volunteers or subjects with the disease under study and usually in one dose. (NCI)   | Therapeutic Equivalency<br>Study |
| C158288     | BIOSIMILARITY              |                               | A type of study designed to evaluate whether a biologic test article is highly similar in function and effect to an existing biologic that has already been clinically tested and approved for use.  | Biosimilarity Study              |
| C158285     | DEVICE-DRUG<br>INTERACTION |                               | A type of study designed to evaluate the interaction<br>between a device and a drug, where the use of one may<br>affect the disposition, function, efficacy, or safety of the<br>other.  | Device-Drug Interaction<br>Study |
| C49653      | DIAGNOSIS                  |                               | A type of study designed to evaluate intervention(s) aimed at identifying a disease or condition.  | Diagnosis Study                  |
| C158289     | DOSE FINDING               |                               | An early phase clinical study with the objective of determining the optimal dose of an investigational product.  | Dose Finding Study               |
| C158290     | DOSE PROPORTIONALITY       |                               | A type of study designed to evaluate the relationship between dose and resulting exposure.   | Dose Proportionality<br>Study    |
| C127803     | DOSE RESPONSE              |                               | A study of the effect of dose changes on the efficacy of a drug in order to determine the dose-response relationship and optimal dose of a therapy.  | Dose Response Study              |
| C158286     | DRUG-DRUG<br>INTERACTION   |                               | A type of study designed to evaluate the interaction<br>between drugs, where the use of one may affect the<br>disposition, efficacy, or safety of the other.   | Drug-Drug Interaction<br>Study   |
| C178057     | ECG                        | Electrocardiographic<br>Study | A study that evaluates the effect of a treatment on cardiac electrical activity, as assessed by electrocardiography.   | Electrocardiographic<br>Study    |
| C49666      | EFFICACY                   |                               | A study of the relative therapeutic efficacy of treatment of a disease. Usually this is a Phase II or III study. (NCI) $$  | Efficacy Study                   |
| C98729      | FOOD EFFECT                |                               | Studies that are conducted to assess the effect of food on the rate and extent of absorption of a drug, either compared to a fasted state or to a reference drug.  | Food Effect Study                |
| C120842     | IMMUNOGENICITY             |                               | A study that assesses an agent's ability to provoke an immune response.  | Immunogenicity Study             |
| C49662      | PHARMACODYNAMIC            |                               | A study of the biochemical and physiological effect of a drug and the mechanism of drug action and the relationship between drug concentration and effect. (NCI)   | Pharmacodynamic Study            |
| C39493      | PHARMACOECONOMIC           |                               | A study that assesses the value associated with a given drug in therapeutic and economic terms. This type of study is multidisciplinary in nature and takes into consideration the social and economic costs (resource utilization costs including direct, indirect, and intangible costs) of drug therapy in addition to its direct therapeutic benefits. Analyses relate the difference in therapeutic benefits to the difference in costs between treatment alternatives. (NCI) | Pharmacoeconomic Study           |
| C129001     | PHARMACOGENETIC            |                               | A study that assesses variation in DNA sequence, usually within a single gene, and its effect on drug response.  | Pharmacogenetic Study            |
| C49661      | PHARMACOGENOMIC            |                               | A study that identifies or assesses variations within the entire genome, including DNA, RNA, or transcriptional elements, and its effects on drug response.  | Pharmacogenomic Study            |

| C66739      | ТТҮРЕ                     |                   |  |                           |
|-------------|---------------------------|-------------------|--|---------------------------|
| NCI<br>Code | CDISC Submission<br>Value | CDISC Synonym     | CDISC Definition   | NCI Preferred Term        |
| C49663      | PHARMACOKINETIC           |                   | A study of the process by which a drug is absorbed, distributed, metabolized, and eliminated by the body. (NCI)  | Pharmacokinetic Study     |
| C161477     | POSITION EFFECT           |                   | A type of study designed to evaluate the effect of body position during and/or after administration of the investigational product.  | Position Effect Trial     |
| C49657      | PREVENTION                | Prophylaxis Study | A type of study designed to identify actions necessary to permanently eliminate or reduce the long-term risk to human life as a result of a particular medication or treatment regimen.                    | Prevention Study          |
| C174366     | REACTOGENICITY            |                   | A type of study designed to evaluate the expected, acute types of immunological responses, sometimes considered excessive, following agent administration.   | Reactogenicity Study      |
| C49667      | SAFETY                    |                   | A study that assesses the medical risks to a subject. Safety is usually assessed by examining a wide range of clinical parameters, including adverse events, vital signs, physical exam, laboratory tests. | Safety Study              |
| C161478     | SWALLOWING FUNCTION       |                   | A type of study designed to evaluate the effect of the investigational product on the physiologic act of swallowing.   | Swallowing Function Trial |
| C158287     | THOROUGH QT               | TQT Study         | A type of study designed to evaluate the ability of an investigational product and/or approved drug to delay cardiac ventricular repolarization as detected by QT prolongation and other ECG parameters.   | Thorough QT Study         |
| C98791      | TOLERABILITY              |                   | A type of safety study that assesses the degree to which overt adverse effects can be tolerated by the subject.  | Tolerability Study        |
| C49656      | TREATMENT                 | Therapy Trial     | A type of study designed to evaluate intervention(s) for treatment of disease, syndrome or condition.  | Treatment Study           |
| C161479     | USABILITY TESTING         |                   | A type of study designed to evaluate the user experience with a product.   | Usability Testing Study   |
| C161480     | WATER EFFECT              |                   | A type of study designed to evaluate the effects of water on investigational product safety and/or efficacy.   | Water Effect Trial        |